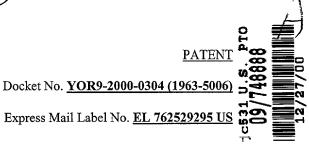


2-27-00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

Box Pat	ISSIONER OF PATENTS ent Application gton, D.C. 20231
Sir:	
Transm	itted herewith for filing is the patent application of
All Inve	entors ag Address(es): David P. Greene,; Stephen J. Boies; Sam Dinkin; Paul Andrew Moskowitz; and Philip Shi-Lung Yu;
For:	SYSTEM AND METHOD FOR "SWAPS" STYLE RISK PRODUCTS BASED ON NETWORK ENABLED AGGREGATION
Enclose	d are
[]_20	page(s) of specification, 1 page(s) of Abstract, 8 page(s) of claims
[] 11 applicat	sheets of drawing (Figs 1, 2a-2g, 3a-3c) [X] formal [] informal (as originally filed in the parention)
[X] <u>3</u>	page(s) of Declaration and Power of Attorney [X] Unsigned [] Newly Executed [] Copy from prior application
	[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)
[]	Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
[]	Microfiche Computer Program (Appendix)
[]	page(s) of Sequence Listing
	[] computer readable disk containing Sequence Listing
	[] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
[]	Assignment Papers (assignment cover sheet and assignment documents)
[]	Assignment Papers (assignment cover sheet and assignment documents)

Charge Fee(s) \$40.00 for recording the Assignment to Deposit Account No. 13-4500 Order No. 1963-5006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED

[]

	[]	Assignment papers filed in parent application Serial No									
	[]	Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).									
[]	Foreign priority is claimed under 35 U.S.C. § 119 from Patent Application(s) No dated										
	[]	Priority document(s) will be submitted at a later date.									
	[]	Priority document(s) is/are submitted herewith.									
[]	Inform	Information Disclosure Statement									
	[]	Copy of _ cited references									
[]	Prelim	inary Amendment									
[X]	Return	Return receipt postcard (MPEP 503)									
[]	This is	This is a [] continuation [] divisional [] continuation-in-part (C-I-P) of prior application serial no.									
	[]	Cancel in this application original claims of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)									
	[]	A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.									
[]	The sta	The status of the parent application is as follows:									
	[]	A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until									
	[]	A copy of the Petition for Extension of Time in the co-pending parent application is attached.									
	[]	No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.									
[]	when t	abandon the parent application at a time while the parent application is pending or at a time the petition for extension of time in that application is granted and while this application is a place been granted a filing date, so as to make this application co-pending.									
	[]	Transfer the drawing(s) from the patent application to this application.									
[]	Ameno This is No	d the specification by inserting before the first line the sentence: s a [] continuation [] divisional [] continuation-in-part of co-pending application Serial filed									

I.	CALCULATIO	N OF API	PLICAT	ION FEE	3				
									Basic Fee
	Number Filed		Numbe	r Extra		Rate			\$690.00
Total									
Claims	27		-20=	7		X	\$18.00		\$ 126.00
Independent									
Claims	3	.,	-3=	0		X	\$0.00		\$ 0.00
Multiple Depe	ndent Claims								
		[] yes	Additio	onal fee	=	\$260.00	\$		\$ -0-
			Additio		=	NONE			
								Total:	\$ 816.00

ſ	1	A statement claiming small entity status is attached or has been filed in the above-identified parent
-	_	application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R.
		§ 1.9(F) (50% of total) paid herewith \$

- [X] A check in the amount of \$816.00 in payment of the application filing fees is attached.
- [] Charge Fee(s) to Deposit Account No. 13-4500 Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1963-5006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: December 27, 2000

Robert M. Pollaro

Registration No. 45,019

CORRESPONDENCE ADDRESS:

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